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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 1.53(b))

Attorney Docket No.	03104.0252	
First Inventor	Christopher G. Estkowski	
Title	Combination Filter/Cartridge Assembly	
Express Mail Label No.	EL790262355US	

1	(Omy Joi n	ew nonprovisioi	ші иррисаны	is unde		Expre	ss Mail	Label No.	EL7902	62355US		○○	
	APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application content					nts	ADDR	ESS TO:	Box Patent	Commissioner Application n, D.C. 2023		ents %	
1. ■ Fee Transmittal Form (Submit an original, and a duplicate for fee processing) 2. □ Applicant claims small entity status. See CFR 1.27. 3. ■ Specification (preferred arrangement set forth below) - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R&D							7. □ CD-ROM or CD-R in duplicate, large table of Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. □ Computer Readable Form (CRF) b. □ Specification Sequence Listing on: i. □ CD-ROM or CD-R (2 copies); or ii. □ paper c. □ Statements verifying identity of above copies						
- Statement Regarding Fed sponsored Recb - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 4. Drawing(s) (35 USC 113) [Total Sheets (10/)]								NG APPLICA					
					<u>(10/</u>]	9. ■ Assignment Papers (cover sheet & document(s)) 10. □ 37 CFR 3.73(b) Statement □ Power of Attorney (when there is an assignee) 11. □ English Translation Document (if applicable) 12. □ Information Disclosure □ Copies of IDS Statement (IDS)/PTO-1449 Citations 13. □ Preliminary Amendment							
	 Oath or Declaration [Total Pages / 2/] a. ■ Newly executed (original or copy) b. □ Copy from a prior application (37 CFR 1 63(d)) (for continuation/divisional with Box 18 completed) i. □ DELETION OF INVENTOR(S)						15. 16.	 14. ■ Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 15. □ Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. □ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent 17. ■ Other: 2 checks: \$40 and \$914 					
4	6. Application Data Sheet. See 37 CFR 1.76 18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76 Continuation Divisional Continuation-in-part (CIP) Prior application information. Examiner. Group/Art Unit. Group/Art Unit.												
	For CONT	INUATION OR	DIVISIONAL . closure of the ac	ccompa	nly: The entire disclenying continuation or ed from the submitte	r divisional	application	ication, from v and is hereby	vhich an oath o incorporated l	r declaration is sup by reference. The i	ncorpora	tion can only be relied	
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200 Vin 10 Mg 10 Mg						X TORRIBONA	anach bar code label here) Or ■ Correspondence address below						
	NAME				David L. Prin	cipe							
Hodgson Russ LLI				s LLP									
	ADDRESS One M&T Plaza, Suit					te 2000							
	CITY Buffalo			<u>STATE</u>		New Yor	rk ZIP COD.		14203-2391				
COUNTRY United States of America TELEPHO Name (Print/Type) David L. Principe			TELEPI		(716) 856		FAX	T	(716) 849-0349				
				Registratio	on No. (Atto	orney/Agent)	_	39,336					
	Signatur				Juni	f.,	You	Date October 26, Date of Deposit October 26, 2001					
	I he	" Mailing Label ereby Certify that te indicated abo	at this paper of	r fee is	being deposited with the Assistant Com	th the Unit	ted States	Postal Service s, Washington	"Express Ma	il Post Office to A	ddressee	" service under 37 CFR	

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David L. Principe

Name

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PTO/SB/17 (10/01) Approved for use through 10/3	в 0651 - 00.	52 A	pplication N	umber		1-				
FEE TRANSMIT		Fi	iling Date		October 26, 2001	October 26, 2001				
FEE TRANSMIT		Fi	irst Named I	nventor	Christopher G. Estkowskı					
Patent Fees are subject to annual i	revision.		E	xaminer Nan	ne					
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TOTAL AMOUNT OF PAYMENT (\$) 954	ļ			ttorney Docl		03104 0252				
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The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:			3. ADDITIONAL FEES							
Deposit Account Number 08-2442 Deposit Account Name.		Large Fee	Entity Fee	Small Fee	Entity Fee	For Description	Fee Paid			
Hodgson Russ LLP		Code	(\$)	Code	(\$)	Fee Description	Paid S			
Charge Any Additional Fee Required Under 37 CFR 1 16 and 1 1	17	105	130	205	65	Surcharge - late filing fee or oath	\$			
Applicant claims small entity status. See 37 CFR 1 27		127	50	227	25	Surcharge - late provisional filing fee or cover sheet	\$			
2. ■ Payment Enclosed: ■ Check □ Credit Card □ Money Order □ Ot	ther	139	130	139	130	Non-English specification	\$			
• FEE CALCULATION		147	2,520	147	2,520	For filing a request for ex parte reexamination	\$			
1. BASIC FILING FEE		112	920*	112	920*	Requesting Publication of SIR prior to Examiner Action	\$			
Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Ree Description	Fee Paid	113	1,840*	113	1,840*	Requesting Publication of SIR after Examiner Action	\$			
Code (\$) Code (\$) Fee Description		115	1,840*	215	55	Extension for reply within first month	\$			
101 740 201 370 Utility filing fee	\$740		400	216	200	Extension for reply within second month	\$			
70% 330 206 165 Design filing fee	\$	116	920	216	460	Extension for reply within second month Extension for reply within third month	\$			
510 207 255 Plant filing fee \$		117				Extension for reply within fourth month	_ 			
740 208 370 Reissue filing fee H4 160 214 80 Provisional filing fee	\$	118	1,440	218	720		<u> </u>			
in the second se	\$	128	1,960	228	980	Extension for reply within fifth month	s			
SUBTOTAL (1)	\$740	119	320	219	160	Notice of Appeal	Ψ			
EXTRA CLAIM FEES Extra Fee from Claims below	Fee Paid	120	320	220	160	Filing a brief in support of an appeal	\$			
######################################	\$ 90	121	280	221	140	Request for oral hearing	\$			
mdependent Claims / 4/ - 3** = / 1/ x /84 / =	\$ 84	138	1,510	138	1,510	Petition to institute a public use proceeding	\$			
Multiple dependent / / x / / =	\$	140	110	240	55	Petition to revive - unavoidable	\$			
Large Entity Small Entity	 _	141	1,280	241	640	Petition to revive - unintentional	\$			
Fee Fee Fee Fee Code (S) Code (S) Fee Description		142	1,280	242	640	10 advance copies Utility issue fee (or reissue)	\$ \$			
103 18 203 9 Claims in excess of 20		143	460	243	230	Design issue fee	s			
102 84 202 42 Independent claims in excess of 3		144	620	244	310	Plant issue fee	s			
104 280 204 140 Multiple dependent claim if not p		122	130	122	130	Petitions to the Commissioner	\$			
109 84 209 42 **Reissue independent claims ove original patent		123	50	123	50	Processing fee under 37 CFR 1.17(q)	\$			
110 18 210 9 **Reissue claims in excess of 20 and over original patent			180	126	180	Submission of Information Disclosure Statement	\$			
SUBTOTAL (2) \$174		126 581	40	581	40	Recording each patent assignment per property (times number of properties)	\$ 40			
P O D IV	2.1.									
SIGNATURE: Jany J. J.	mpl	146	740	246	370	Filing a submission after final rejection(37 CFR 1 129(a))	\$			
David L. Principe Reg No 39,336	149	740	249	370	For each add'l invention to be examined(37 CFR1 129(b)	\$				
DATE: October 26, 2001 Telephone (716) 848-126	179	740	279	370	Request For Continued Examination (RCE)	\$				
		169	900	169	900	Request for Expedited Examination of a design appln.	\$ 40			
		*Reduce	d by basic	filing fee paid	1	SUBTOTAL (3)	940			
Express Mail Mailing Label Number <u>EL790262355US</u>	lenosited wath	the United C	Date of	Deposit <u>O</u>	october 26, 20 press Mail Po	001_ ost Office To Addressee" service under 37 CFR 1.10 on the date				
Express Mail Maling Laber Nutriber <u>EL179225305</u> I hereby Certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office To Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents Washington, D.C. 20231										

indicated above and is addressed to: Assistant Commissioner for Patents Washington, D.C. 2023)

David L. Principe
Name

October 26, 2001
Date of Signature

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